



09-02-03

Express Mail No.: EV 156 968 683  
Attorney Docket No. 5058.00

#24011  
Jr. Douglas  
9/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

FREEMAN et al.

Serial No.: 08/815,168

Filed: March 11, 1997

For: DIGITAL INTERACTIVE SYSTEM FOR  
PROVIDING FULL INTERACTIVITY WITH  
LIVE PROGRAMMING EVENTS

Examiner: Hai V. TRAN

Art Unit: 2611

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**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 C.F.R. 1.97(c)(1)**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR 1.56, the references listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents on the attached Form PTO-1449 are enclosed.

The references were cited in a Canadian Communication dated July 21, 2003, in connection with corresponding Canadian Patent Application No. 2,427,673. A copy of the Canadian Communication is enclosed herewith.

A copy of a European Communication dated July 3, 2003, issued in connection with related European Patent Application No. 00105878.3 is also enclosed. Copies of the references cited therein are not enclosed since they have been previously cited in connection with this patent application.

**Statement Under 37 CFR 1.97(e)**

The undersigned hereby certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

Should the Examiner have any questions concerning the relevance of the patent cited in this disclosure, please contact the undersigned attorney.

Dated: August 29, 2003.

Respectfully submitted,



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JTK/CAF



Express Mail No.: EV 156 968 685 US  
Attorney Docket No. 5038.00

In re Patent Application of:

FREEMAN et al.

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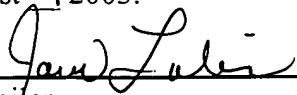
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

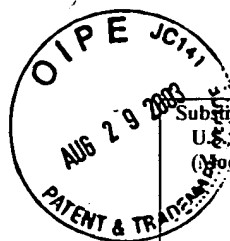
The undersigned hereby certifies that the attached:

1. **INFORMATION DISCLOSURE STATEMENT UNDER 1.97(c);**
2. **FORM PTO-1449 AND COPIES OF CITED REFERENCES;**
3. **COPY OF A CANADIAN COMMUNICATION;**
4. **COPY OF A EUROPEAN COMMUNICATION;**
5. **RETURN POSTCARD; and**
6. **THIS CERTIFICATE OF MAILING BY EXPRESS MAIL,**

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV 156 968 685 US with the United States Postal Service, addressed to Commissioner for Patents, PO Box 1450, Alexandria, Virginia 20231-1450, on August 29 2003.

  
Maier

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Substitute for form 1449A/PTO U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				ATTY. DOCKET NO.: 5038.00		APPLICATION NO.: 08/815,168	
				FIRST NAMED INVENTOR: FREEMAN et al.			
				FILING DATE: March 11, 1997		ART UNIT: 2611	
Sheet	1	of	1	EXAMINER NAME: Hai V. Tran			

### U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
		US-			<b>RECEIVED</b>  SEP 04 2003  Technology Center 2600
		US-			
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### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	A	CA-2,186,027	09-28-1995	SEARBY		
	B	CA-2,345,161	06-09-1994	BERKOBIN et al.		
	C	CA-2,245,841	08-14-1994	FREEMAN et al.		
	D	CA-2,220,483	11-21-1996	FREEMAN et al.		

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>2</sup>	(Include name of Author ) in CAPITAL LETTERS), Title of article (when appropriate, title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher city and/or country where published)	T <sup>3</sup>
	E	Canadian Intellectual Property Office Communication, July 21, 2003, pp. 1-5.	
	F	European Patent Office Communication pursuant to Article 96(2) EPC, July 3, 2003, pp. 1-4.	
EXAMINER SIGNATURE		DATE CONSIDERED	

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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<sup>1</sup> Applicant's unique citation number (optional).

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